

**MEMORANDUM OF UNDERSTANDING
AMONG**

**THE FEDERAL HIGHWAY ADMINISTRATION,
ILLINOIS STATE HISTORIC PRESERVATION OFFICER,
ILLINOIS DEPARTMENT OF TRANSPORTATION,**

and

FEDERALLY RECOGNIZED TRIBES INTERESTED IN ILLINOIS LANDS

**REGARDING TRIBAL CONSULTATION REQUIREMENTS
FOR THE ILLINOIS FEDERAL TRANSPORTATION PROGRAM**

PREFACE

This document is the result of discussions among the Federal Highway Administration (FHWA), the Illinois Department of Transportation (IDOT), the Advisory Council on Historic Preservation (ACHP), the Illinois State Historic Preservation Officer (Illinois SHPO), and the Tribes who have expressed an interest in Illinois lands. This document is intended to define consultation, for compliance with the National Historic Preservation Act (NHPA) (16 U.S.C. § 470 et seq.), among the FHWA, IDOT and the Tribes interested in Illinois lands. As required under the terms of the NHPA and resulting regulations (36 CFR 800), consultation has revealed that many Tribes have aboriginal ties to what is now the State of Illinois. Those Tribes have expressed concern, and requested to be consulted on future transportation projects in Illinois.

The FHWA and IDOT understand that the Tribes have concerns regarding cultural resources, protection of burials, preservation of Traditional Cultural Properties (TCPs), continuing destruction of places and items of cultural value, and the effects of this destruction on their cultural identity today. Cultural resources are revered by the Tribes and are a connection to their past and important to their cultural identity, sense of self and future well-being. Cultural resources are tied to people's ancestors, some are related to important religious activities, and many have ongoing spiritual connections. The FHWA and IDOT recognize the importance of these remnants from the past to aboriginal people living today.

Modern development, including transportation construction, has the potential to destroy valuable cultural resources and adversely impact others. This agreement is intended to ensure all parties understand which cultural resources are important to the Tribes and work together to protect and preserve them. The FHWA and IDOT are committed to providing a transportation system that will benefit all people of Illinois. The FHWA and IDOT are committed to learning Tribal perspectives about cultural resources important to the Tribes. The Tribes are committed to understanding transportation issues and the perspectives of the FHWA and IDOT. Jointly we are committed to establishing a relationship of mutual trust and respect.

The FHWA and IDOT recognize that transportation projects have the potential to adversely affect cultural resources: burials, sacred sites, archaeological sites, traditional natural resources, and traditional landscapes of value to the Tribes. The FHWA and IDOT do not wish further destruction of these culturally valued places, and recognize the inherent rights of Tribes to preserve those places that they value.

It is the intention of the FHWA and IDOT to work with the Tribes to find appropriate ways to avoid effect to important cultural resources. The FHWA and IDOT pledge to always look for ways to avoid effect to these resources as the first option. When avoidance of effect is impossible or impractical, given other concerns of equal importance, the FHWA and IDOT will work with the Tribes for appropriate and respectful resolution of any unavoidable effects.

The IDOT will follow the Native American Graves Protection and Repatriation Act (NAGPRA) (25 U.S.C. 3001) when there is a discovery of human remains or burials on Federal lands. In the event of an inadvertent discovery of human remains or burials on non-Federal lands during transportation construction activities, IDOT will comply with the Illinois Human Skeletal Remains Protection Act (20 ILCS 3440) (see III.C.3.)

WHEREAS, all signatory Tribes identified in Appendix A with the area in which they have expressed an interest are federally recognized sovereign Indian Nations with their own Tribal laws, with established government-to-government relationships with the United States and an interest in specific areas of Illinois, as shown in Appendix A: “COUNTY LISTING OF THE TRIBES’ ANCESTRAL HOMELANDS AND TERRITORY IN THE STATE OF ILLINOIS”, and have been consulted and agree to be signatories to this document; and

WHEREAS, the Illinois SHPO has been consulted and agrees to be a signatory to this document; and

WHEREAS, the FHWA and IDOT wish to affirm the government-to-government relationship with the Tribes for consultation and cooperation regarding Federal Acts and Orders including, but not limited to, Section 106 of the NHPA; the National Environmental Policy Act, 42 U.S.C. §§ 4321, 4331, 4332, the Archaeological Resource Protection Act, 16 U.S.C. § 470aa et seq. Presidential Executive Order 13007 (Indian Sacred Sites), Presidential Executive Order 13175 (Consultation and Coordination with Indian Tribal Governments), Native American Graves Protection and Repatriation Act (NAGPRA) (25 U.S.C. 3001) and the American Indian Religious Freedom Act, 42 U.S.C. § 1996; and

WHEREAS, the FHWA is the Federal agency with statutory responsibilities for administering the Federal-aid highway program under Title 23 U.S.C. 101 et seq, and IDOT is the applicant for Federal funds for highway construction projects in Illinois. The FHWA agrees to coordinate under a government-to-government relationship with federally recognized Tribal government officials or appointees with regard to Federal responsibilities under Section 106 of the NHPA through the terms of this Memorandum of Understanding (MOU). The IDOT will consult with representatives designated by the Tribal governments to manage or advise on matters pertaining to cultural resources; and

WHEREAS, the FHWA has determined that its undertakings may have an effect upon properties included in or eligible for inclusion in the National Register of Historic Places

(NRHP), that may be of interest to the Tribes, and through this agreement will consult with the Tribes pursuant to Section 106 of the NHPA (16 U.S.C. 470f); and

WHEREAS, the FHWA and IDOT are committed to the design and construction of a transportation system that: 1) safely moves people and goods; 2) avoids, minimizes and mitigates adverse effects on cultural resources; 3) recognizes that consideration of Tribal interest in preservation of significant cultural resources is important to Tribal well-being, growth and prosperity; and 4) responds to the needs of Illinois communities and the Tribes; and

WHEREAS, the IDOT will employ departmental staff with qualifications that meet the requirements of 36 CFR Part 61, Appendix A. At a minimum, the professional staff required to carry out the terms of this MOU shall consist of one permanent, full time archaeologist; and

WHEREAS, the IDOT may contract archaeological field work to other qualified personnel provided they are managed by IDOT. At this time the Illinois State Archaeological Survey (ISAS) , through an intergovernmental agreement with the University of Illinois, performs all field work and develops technical reports to implement IDOT's cultural resources program; and

WHEREAS, the FHWA, IDOT, and the signatory Tribes aspire to engage in meaningful, long-term planning for the appropriate consideration of cultural resources important to the Tribes, and to include the following:

- develop a comprehensive and efficient Tribal consultation process for all Section 106 undertakings,
- streamline the process and procedural requirements,
- recognize that Tribes share the responsibility to engage in effective consultation,
- develop a consultation process based upon education and understanding,
- involve the Tribes' cultural experts to a greater extent and at an earlier point than before the implementation of this MOU,
- devote a percentage of time and energy to identify relevant transportation problems threatening cultural resources important to the Tribes,
- any additional goals identified through consultation;

BE IT RESOLVED, the FHWA, IDOT and the Tribes hereby agree that the implementation of Tribal consultation on highway projects shall be administered in accordance with the following stipulations in order to satisfy FHWA tribal consultation responsibilities under the NHPA.

STIPULATIONS

I. GENERAL

A. This MOU sets forth the process by which FHWA will meet its responsibilities with regard to consultation with the Tribes under Section 106 of the NHPA for highway projects within the State of Illinois of the Federal Aid Highway Program. This MOU establishes a protocol for consultation with the Tribes on individual highway projects.

B. Nothing in this MOU shall be interpreted to alter the requirements of the NHPA or its implementing regulations. In the event any portion of this MOU is deemed contradictory to law or regulation, only that contradictory portion becomes void. The sole contradictory issue does not negate the entire agreement. The parties should consult to resolve that contradictory issue with the intent to reform that portion to make it compliant with the applicable law or regulation, and the remaining portions of the MOU remain in full force and effect.

C. No portion of this MOU shall be interpreted to limit the rights, duties, or responsibilities as may be implemented under Federal statutes, regulations, policies, Executive Memoranda or Executive Orders, and related documents.

D. Consultation should begin early in the planning process and continue throughout a Section 106 undertaking. A letter or email of notification, in and of itself, from FHWA/IDOT to the Tribe is not considered consultation. Consultation is a mutual, meaningful dialogue between FHWA, IDOT, the Illinois SHPO, and the Tribe regarding historic properties that may be affected by an undertaking. Consultations may include face-to-face meetings, as well as communications by mail, electronic mail, facsimile, and telephone. Times and places of meetings, as well as agendas of meetings, will be developed with mutual acceptance. If there are historic properties that are of religious and cultural significance to the Tribe, then consultation may be extended to include future management, protection, and preservation of these properties.

E. The FHWA and IDOT shall consult each Tribe on a government-to-government basis in recognition of its sovereign status early in the planning process, and throughout Section 106 review, regarding any activity or undertaking that might affect historic or cultural properties. The FHWA will monitor and supervise any such consultations, and will be available for direct communication from any party. The FHWA will be a signatory on all project specific, or other formal Section 106 agreement documents.

F. The FHWA and IDOT shall ensure that the Tribe is consulted throughout a specific undertaking and that the Tribe is afforded, in accordance with applicable Federal, State, and local authorities and highway safety measures, the opportunity to conduct religious/traditional ceremonies within right-of-way owned by IDOT, with regard to a specific location or with regard to American Indian human remains and funerary objects as well as any other cultural items from sacred or religious contexts.

G. The FHWA and IDOT recognize that facilitating effective tribal consultation may require providing travel support for tribal officials. Future formal agreements may specify how this support may occur.

H. The FHWA and IDOT shall ensure that consultation with other consulting parties, including local governments, shall not include the dissemination, beyond those who have an official need to know, of confidential information except as mandated by Federal or State laws. Confidential information is defined as information that might risk harm to

1. TCPs,
2. archaeological sites that may contain burials or human remains and/or associated cultural items,

3. significant archaeological habitation sites in accordance with Section 304 of the NHPA and other applicable authorities,

I. As TCPs, burial sites, and/or associated cultural items are non-renewable resources, FHWA and IDOT shall make a reasonable and good faith effort to inform the public and private landowners regarding stewardship, site protection and preservation which may include but not be limited to tax incentives or related benefits, lectures, exhibits, site-specific consultation, brochures, and videos.

J. An interested Tribe may request to enter the process at any time. The interested Tribes will be contacted if human remains or burials are found during archaeological investigations conducted within IDOT rights-of-way and the human remains are determined to be associated with Tribes. If individual or scattered fragments of bone or teeth are found, further field investigations will be done to determine if they are isolated or part of additional human remains or burials.

II. NOTIFICATION FOR CONSULTATION PROCEDURES

A. *Points of Contact:* The FHWA, IDOT, Illinois SHPO, and the Tribes, shall each designate a primary and secondary contact. The primary contact for the Tribes is the contact to whom all initial and formal correspondence goes, including the Illinois Proposed Highway Improvement Program (HIP) listing (see Stipulations II.C and III.A.) and electronic web-based Project Notification System (PNS) notifications (see Stipulation III.B.). If the individual designated as the primary point of contact is not available, communications should be directed to the secondary contact. The primary and secondary contacts within each Tribe are responsible for involving the appropriate Tribal individuals as they deem appropriate. Each party of this MOU shall provide the other parties with the phone numbers, email addresses, and mailing addresses for the primary and secondary contacts (see Appendix B “POINT OF CONTACT LIST”). Each party shall notify the other parties in writing (hardcopy or email) when either point of contact changes. Such changes shall not require the amendment of this agreement.

B. *Tribal Areas of Concern:* The Tribes have provided, or will provide, FHWA/IDOT with a map and listing of all Illinois counties that exist within their ancestral homeland and territory, in which they have an interest (see Appendix A). At the Tribes’ discretion, a Tribe or Tribe(s) may also be identified to review projects in other areas of Illinois. The FHWA/IDOT will maintain this list and will update as necessary when additional Tribal interests are made known.

C. *Illinois Program Documents:* The current Illinois Proposed HIP, which is a multi-year list of all proposed transportation projects with scopes and locations, is maintained on the IDOT website. The IDOT will email Tribal contacts the website address when these documents are updated on an annual basis, with an offer to send hard copies upon request. This information will give the Tribes the opportunity to review and comment on *all* projects in their counties of interest in advance of project development. (see Section III, Consultation Procedures)

D. *Delegation of Certain Responsibilities to IDOT:* The IDOT will send the Illinois Proposed HIP and the initial electronic PNS notification to Tribes for the applicable projects (see Stipulation II. F.), soliciting the Tribes’ input early in the project’s development, and providing them project information as necessary or requested. The IDOT may also carry out consultation

activities in accordance with Stipulation I.D., but FHWA will be involved in or monitor all such consultations, and will at all times be available for direct communication with any party. The FHWA will be a signatory on all project specific or other formal agreement documents.

E. Projects Exempt from Initial PNS Notification: Projects listed in Appendix C “PROJECTS EXEMPT FROM INITIAL NOTIFICATION”, provided they are not part of a larger undertaking, are by their nature and definition either: 1) types of projects that have no potential to affect historic properties, or 2) types that have no or limited potential to result in any effects to historic properties of concern to the Tribes. These projects are exempt from PNS notification. Exemption of projects from PNS *notification* does not exempt those projects from Tribal *consultation* opportunities. Upon agreement from FHWA, IDOT, Illinois SHPO, and all signatory Tribes, Appendix C may be modified without amendment to this MOU.

No Federal-aid projects are exempt from being listed in the Illinois Proposed HIP. Therefore, Tribes will see a listing of *all* Federal-aid projects in their counties of interest (see III.A).

F. Transportation Projects to be Considered for PNS Notification to Tribes: The IDOT will use the PNS to notify Tribes and the Illinois SHPO about minor projects (typically Categorical Exclusion (CE) classes of action under the National Environmental Policy Act (NEPA)) with potential to affect historic properties that may be of interest to the Tribes. The FHWA will normally notify by email Tribes and the Illinois SHPO directly about major projects (typically Environmental Assessment (EA) and Environmental Impact Statement (EIS) projects under NEPA) with the potential to affect historic properties that may be of interest to the Tribes. PNS notifications include:

1. Any minor IDOT project that is considered to be a Federal undertaking that is subject to Section 106 as described in 36 CFR Part 800.3(a) and Part 800.16(y). The IDOT will consult with the Tribes who claim association with that project’s county (see Appendix A). However, those projects that impact previously disturbed or surveyed ground are exempt from initial notification (see Appendix C).
2. Information about minor projects at the initiation of the archaeological resources survey for all projects that fall under Stipulation F.1 that are not EA or EIS projects. At a minimum this information will include a project description, location maps, and the location of known burial sites in the immediate area.
3. The FHWA/IDOT will consult with the Tribes regarding any properties in which the Tribes have an expressed interest as a result of their review of all project documentation provided by IDOT.

III. CONSULTATION PROCEDURES

A. Illinois Program Documents: The current Illinois Proposed HIP, which is a multi-year list of all proposed transportation projects with scopes and locations, is maintained on the IDOT website. The IDOT will email Tribal contacts the website address when these documents are updated on an annual basis, with an offer to send hard copies upon request. This information will give the Tribes the opportunity to review and comment on projects in advance of project development.

If a Tribe expresses interest in any project(s) listed, they may request that detailed project information be sent to them via the PNS, and the procedures under Stipulation III. B. will be followed.

B. Project Notification System (PNS): In addition to the regular notification of all projects in the Illinois Proposed HIP, IDOT will notify the Tribal designated contacts (Appendix B) and the Illinois SHPO through the PNS by email when a proposed minor project has been requested for archaeological survey. These are projects that IDOT has determined may have potential to affect historic properties, in consultation with the interested Tribes. This notification will be done for projects identified in Stipulation II.F, *Transportation Projects to be Considered for PNS Notification to Tribes*.

For projects sent through the PNS, the Tribes, FHWA, the Illinois SHPO, and IDOT, mutually agree on the following consultation procedures for identifying and evaluating the effects of projects on cultural resources. These procedures shall comply with all applicable Federal, and State laws, statutes, and regulations.

1. The IDOT will use Appendix A, to identify and provide the interested Tribes with early notification through the PNS that a project is under study within a county of their area of concern. The PNS notification will provide a project description along with a 7.5 minute quadrangle map showing the proposed project location. The Tribe will notify IDOT in the PNS if there is a TCP within the project area, or if they have an interest in the undertaking. If IDOT does not receive a response from the Tribes within 45 days, they may assume the Tribes have no interest in the undertaking. The IDOT may proceed with archaeological investigations as soon as the notification is sent to the Tribes.
2. Tribes that responded within the 45-day period with an interest will be considered a consulting party for the project. The IDOT will notify FHWA of the Tribe's interest, who will, if appropriate, contact the Tribe. The interested Tribe may request additional project information, which IDOT will provide. The means of consultation will be negotiated on a project case-by-case basis as appropriate for the Tribe's interest and request.
3. If there is any disagreement among the agencies and the Tribes regarding eligibility of a TCP, all parties will have further discussions to attempt to reach agreement and will follow eligibility guidelines in National Park Service Bulletin 38. If Tribes disagree on preferred treatment of a TCP, the Tribes will negotiate among themselves and present one recommendation for IDOT/FHWA on which to base their actions.
4. The IDOT, in consultation with the Illinois SHPO and interested Tribes, shall conduct appropriate archaeological investigations and prepare the reports, which will be posted on the IDOT/ISAS PNS and a notification sent to the consulting party Tribes and the Illinois SHPO. Reports may also be sent by hardcopy to consulting party Tribes that have demonstrated technical difficulties accessing the reports via PNS.
5. Upon completion, IDOT will send the archaeological survey report to the Illinois SHPO via PNS for a 30-day review period, and also to consulting party Tribes via PNS for a 30-

day comment period that runs concurrent with the Illinois SHPO's 30-day review period. Any response received from consulting party Tribes during this period will be provided to the SHPO for consideration in the identification of historic properties. If IDOT does not receive a response within the 30-day period from a consulting party Tribe, IDOT may assume the Tribe has no comment regarding the outcome of the archaeological investigation and will request concurrence from the Illinois SHPO.

6. Based on the initial field surveys for those properties which FHWA, IDOT, and Illinois SHPO, in consultation with Tribes, agree are not eligible for inclusion in the NRHP, no further archaeological investigations will be required in those areas. The IDOT will send the eligibility determination documentation to the consulting party Tribes via PNS.
7. For those properties identified as eligible for the NRHP, consultation will continue with the Illinois SHPO and consulting party Tribes. If adverse impacts cannot be avoided, then IDOT, FHWA, the Tribes, and Illinois SHPO will consult to develop a Memorandum of Agreement.

C. *Unanticipated Discoveries During Construction:*

1. *Objectives:* The following procedures will be used in the event that previously unreported and unanticipated historic properties or human remains are found during IDOT construction activities. The procedures differ depending on whether unanticipated cultural materials (see Section C.2.) or human remains (see Section C.3.) are encountered. The plan is intended to ensure that the project is in compliance with all applicable Federal and State laws and regulations, including Section 106 of the NHPA of 1966 (36 CFR 800), the Illinois State Agency Historic Resources Preservation Act (20 ILCS 3420), the Illinois Archaeological and Paleontological Resources Protection Act (20 ILCS 3435), and the Illinois Human Skeletal Remains Protection Act (20 ILCS 3440).
2. *Procedures for an Unanticipated Discovery of Historic Properties:* In the event of an unanticipated discovery of historic properties during IDOT construction activities, IDOT will follow these procedures:
 - (a) The construction contractor must immediately stop all construction activity within a 150 foot radius of the discovery, notify IDOT of the discovery, and implement interim measures to protect the discovery from looting and vandalism. Within 48 hours of receipt of this notification of the discovery, IDOT shall:
 - i. inspect the work site to determine the extent of the discovery and ensure that construction activities have halted;
 - ii. clearly mark the area of the discovery;
 - iii. implement additional measures, as appropriate, to protect the discovery from looting and vandalism; and
 - iv. notify the FHWA, the Illinois SHPO, and the Tribes who have identified the location as within their ancestral homelands, of the discovery.

(b) The IDOT/FHWA will have seven business days following notification to determine the NRHP eligibility of the discovery after considering the filed comments of the Illinois SHPO, interested Tribes, and other consulting parties. The IDOT/FHWA may assume the newly discovered property to be eligible for the NRHP for the purposes of Section 106 pursuant to 36 CFR§ 800.13(c)

(c) If the find is determined to be potentially significant, IDOT will consult with the Illinois SHPO, the Tribes, and other interested parties regarding appropriate measures for site treatment. For properties determined eligible for the NRHP, IDOT/FHWA will notify the Illinois SHPO, interested Tribes, and other consulting parties, of those actions for which it proposes to resolve adverse effects. Interested Tribes and other consulting parties will have seven business days to provide their views on the proposed actions. The IDOT/FHWA will ensure that the recommendations of interested Tribes and other consulting parties are taken into account prior to granting approval of the measures that will be implemented to resolve adverse effects. These measures may include:

- i. formal archaeological evaluation of the site;
- ii. visits to the site by the Illinois SHPO, the Tribes, and other interested parties;
- iii. exploration of potential alternatives to avoid the site;
- iv. preparation of a mitigation plan by IDOT in consultation with the Tribes for approval by the Illinois SHPO;
- v. implementation of a mitigation plan; and
- vi. FHWA approval to resume construction following completion of the fieldwork component of the mitigation plan.

(d) If the find is determined to be either isolated or completely disturbed by construction activities, IDOT will consult with the Illinois SHPO, the Tribes, and other interested parties prior to resuming construction.

(e) Dispute Resolution: The FHWA will seek and take into account the recommendations of the ACHP in resolving any disagreements that may arise regarding determination of effects.

3. *Procedures for an Unanticipated Discovery of Human Remains and Burials:* In the case of an unanticipated discovery of human remains or burials on Federal land, IDOT will follow the procedures outlined by the Native American Graves Protection and Repatriation Act, as amended (43 CFR 10, Subpart B), and pursuant to the Archaeological Resources Protection Act of 1979 (43 CFR 7). In the event of an unanticipated discovery of human remains or burials on non-Federal lands during IDOT construction activities, the IDOT will comply with the Illinois Human Skeletal Remains Protection Act (20 ILCS 3440) as administered by the Illinois Historic Preservation Agency (IHPA) and will follow these procedures:

(a) Upon encountering human remains or an unmarked human burial during ground disturbing construction activities, IDOT will ensure that the construction contractor immediately stops work within a 150 foot radius from the point of discovery. The IDOT will ensure that the construction contractor implements

interim measures to protect the discovery from vandalism and looting, but must not remove or otherwise disturb any human remains or other items in the immediate vicinity of the discovery.

(b) The IDOT will notify the County Coroner, IHPA, the Tribes, and other interested parties within 48 hours of the discovery. The IDOT/FHWA will contact by phone the point of contact for each interested Tribe of the discovery.

(c) Within 72 hours after notification the County Coroner will determine jurisdiction. If the remains are older than 100 years, the County Coroner will transfer jurisdiction to IHPA.

(d) In coordination with IHPA, IDOT will determine if the skeletal remains are human, the degree to which they were disturbed, and if possible, assess their potential age and cultural affiliation without any further disturbance.

(e) The IDOT/FHWA is responsible for notifying the Tribes within 24 hours of IHPA's findings.

(f) If it is determined by IHPA that intact or fragmented human remains are present and they are Native American, IDOT will consult with the IHPA, Illinois SHPO, FHWA, the Tribes, and other interested parties regarding additional measures to avoid, and protect or mitigate, the adverse effect of the project on the human remains and burial site. These measures may include:

- i. formal archaeological evaluation of the site;
- ii. if the remains are determined to be Native American, consultation with the Tribes will be required;
- iii. visits to the site by the Illinois SHPO, the Tribes, and other interested parties; exploration of potential alternatives to avoid the human remains or burial;
- iv. for Native American remains, implementation of a mitigation plan by IDOT in consultation with IHPA and the Tribes, including procedures for disinterment and re-interment;
- v. implementation of the mitigation plan; and
- vi. IHPA and FHWA approval to resume construction following completion of the fieldwork component of the mitigation plan.

IV. OTHER POINTS OF MUTUAL AGREEMENT

A. Execution and Implementation: This agreement is effective as of the date of the last signature among FHWA, IDOT, and at least one Tribe with an interest in Illinois lands. Other Tribes may become signatory to this agreement after that date, without the need to amend this MOU. The successful implementation of this process shall constitute meaningful consultation between FHWA and the Signatory Tribes to fulfill tribal consultation requirements of Section 106 of the NHPA.

B. *Annual Report*: The IDOT will submit a report to FHWA, Illinois SHPO, and Tribes identifying projects processed under this MOU. The report should include a description of actions taken to comply with this agreement. Discussion needs to take place between the Tribes, Illinois SHPO, IDOT, and FHWA regarding effectiveness of the MOU and any changes. If necessary, the contact list in Appendix B will be updated annually when the report is submitted.

C. *Confidentiality*: The FHWA and IDOT acknowledge the need for confidentiality of certain Tribal spiritual and cultural information that may be provided by the Tribes during the course of consultations, and other aspects of Tribal consultation conducted on cultural resource issues. Information identified as sensitive by consulted Tribal members, and requested to remain confidential, will remain confidential to the extent permitted by State and Federal law [Section 304 of NHPA].

D. *Dispute Resolution*: Should any signatory object at a later date to the implementation of this agreement in whole or in part, the objecting party will consult with all signatories to resolve the objection. If all signatories are unable to satisfactorily resolve the issue, and the issue involves resolution of the Adverse Effect of an IDOT project on a site eligible for inclusion on the NRHP, the administrative process defined in 36 CFR Part 800.6 will be followed.

E. *Failure to Comply/Termination*: If any signatory determines that the terms of this MOU cannot be or are not being carried out, then the signatories shall consult regarding the reasons, and will seek amendment to the MOU, if appropriate. If the MOU is not amended, any signatory may terminate their participation in the MOU by providing 30 calendar days written notice to the other parties. In the event of termination by a Tribe, the FHWA and IDOT shall comply with 36 CFR 800 and continue with individual Tribal consultation with that Tribe. As long as the FHWA, IDOT, Illinois SHPO, and at least one Tribe continues to participate, the MOU will remain in effect, but the Tribes who have terminated their participation will no longer be held to the terms of this agreement.

F. *Amendment*: Any signatory to this MOU may request that it be amended, whereupon all signatories shall consult to consider such an amendment. Any resulting amendments shall be developed and executed among the signatories in the same manner as the original MOU. Any amendment to this agreement will go into effect only upon written agreement of all signatories.

G. *Evaluation of MOU Implementation*: At least once a year, the signatories will discuss issues defined in this MOU. Once every two years, to the extent feasible, FHWA will host a meeting and will reimburse travel/per diem expenses for one representative from each signatory Tribe to attend the meeting. The purpose of the meetings is to continue effective working relationships and evaluate the implementation of this agreement.

H. *Participation in Similar Activities*: This MOU in no way restricts the FHWA, IDOT, or the signatory Tribes from participating in similar activities with other public or private agencies, organizations, and individuals.

I. *Commencement/Termination Date*: This MOU is executed as of the date of last signature between FHWA, IDOT, Illinois SHPO, the ACHP, and at least one Tribe affiliated with land within the State of Illinois. Other Tribes may become signatory to this agreement after that date. This MOU is effective through December 31, 2030, at which time it will expire unless

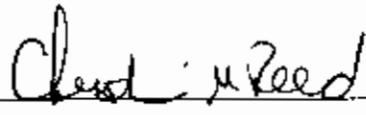
specifically extended by signatory resolution. One year prior to expiration, the signatories will discuss whether this agreement should be renewed, modified, or allowed to expire.

SIGNATORIES

By: 

Date: 8/31/2011

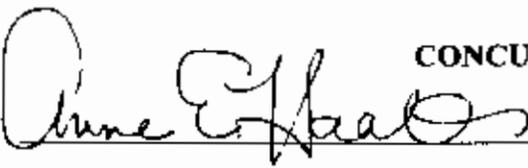
Norman R. Stoner, P.E.,
Division Administrator
Federal Highway Administration

By: 

Date: 8/31/11

Christine M. Reed,
Director of Highways
Chief Engineer
Illinois Department of Transportation

CONCURRING PARTIES

By: 

Date: 8/31/11

Anne E. Haaker,
Deputy State Historic Preservation Officer
Illinois Historic Preservation Agency

Insert here individual Tribe /s/ pages.

RECEIVED
SEP 26 2011
ILLINOIS
DIVISION

MEMORANDUM OF UNDERSTANDING
AMONG

THE FEDERAL HIGHWAY ADMINISTRATION,
ILLINOIS STATE HISTORIC PRESERVATION OFFICER,
ILLINOIS DEPARTMENT OF TRANSPORTATION,

and

FEDERALLY RECOGNIZED TRIBES INTERESTED IN ILLINOIS LANDS

REGARDING TRIBAL CONSULTATION REQUIREMENTS
FOR THE ILLINOIS FEDERAL TRANSPORTATION PROGRAM

Iowa Tribe of Kansas and Nebraska

Signature: Alan Kelley Date: 09-19-11

Please print name: Alan Kelley Title: Sec 106 Coordinator

MEMORANDUM OF UNDERSTANDING
AMONG

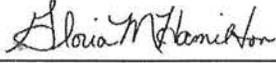
THE FEDERAL HIGHWAY ADMINISTRATION,
ILLINOIS STATE HISTORIC PRESERVATION OFFICER,
ILLINOIS DEPARTMENT OF TRANSPORTATION,

and

FEDERALLY RECOGNIZED TRIBES INTERESTED IN ILLINOIS LANDS

REGARDING TRIBAL CONSULTATION REQUIREMENTS
FOR THE ILLINOIS FEDERAL TRANSPORTATION PROGRAM

Ponca Tribe of Nebraska

Signature: 

Date: December 13, 2011

Please print name: Gloria M. Hamilton

Title: Ponca Tribe of Nebraska
THPO Coordinator

MEMORANDUM OF UNDERSTANDING
AMONG

THE FEDERAL HIGHWAY ADMINISTRATION,
ILLINOIS STATE HISTORIC PRESERVATION OFFICER,
ILLINOIS DEPARTMENT OF TRANSPORTATION,

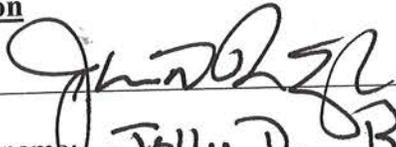
and

FEDERALLY RECOGNIZED TRIBES INTERESTED IN ILLINOIS LANDS

REGARDING TRIBAL CONSULTATION REQUIREMENTS
FOR THE ILLINOIS FEDERAL TRANSPORTATION PROGRAM

Osage Nation

Signature:



Date:

12/13/12

Please print name:

JOHN D. Red Eagle

Title:

Principal Chief

APPENDIX A

COUNTY LISTING OF THE TRIBES' ANCESTRAL HOMELANDS AND TERRITORY IN THE STATE OF ILLINOIS

IDOT DISTRICTS / COUNTIES

DISTRICT 1

<u>Counties</u>	<u>Tribes</u>
COOK	Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox
LAKE	Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox
DuPAGE	Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox
McHENRY	Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox
KANE	Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox
WILL	Ho-Chunk, Miami, Kickapoo, Peoria, Potawatomi, Sac & Fox

DISTRICT 2

<u>Counties</u>	<u>Tribes</u>
Boone	Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox
Carroll	Ho-Chunk, Potawatomi, Sac & Fox, Iowa, Miami, Peoria
Henry	Ho-Chunk, Iowa, Miami, Peoria, Potawatomi, Sac & Fox
Jo Daviess	Ho-Chunk, Iowa, Miami, Peoria, Potawatomi, Sac & Fox
Lee	Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox
Ogle	Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox
Rock Island	Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox
Stephenson	Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox
Whiteside	Ho-Chunk, Iowa, Miami, Peoria, Potawatomi, Sac & Fox
Winnebago	Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox

DISTRICT 3

<u>Counties</u>	<u>Tribes</u>
Bureau	Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox
Dekalb	Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox
Ford	Kickapoo, Miami, Peoria
Grundy	Ho-Chunk, Kickapoo, Miami, Peoria, Potawatomi, Sac & Fox
Iroquois	Kickapoo, Miami, Peoria
Kankakee	Kickapoo, Miami, Peoria, Potawatomi
Kendall	Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox
La Salle	Ho-Chunk, Kickapoo, Miami, Peoria, Potawatomi, Sac & Fox
Livingston	Ho-Chunk, Kickapoo, Miami, Peoria, Potawatomi

DISTRICT 4

Counties

Tribes

Fulton	Ho-Chunk, Iowa, Miami, Peoria, Potawatomi, Sac & Fox
Henderson	Ho-Chunk, Iowa, Miami, Peoria, Sac & Fox
Knox	Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox
Marshall	Ho-Chunk, Kickapoo, Miami, Peoria, Potawatomi, Sac & Fox
McDonough	Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox
Mercer	Ho-Chunk, Iowa, Miami, Peoria, Sac & Fox,
Peoria	Ho-Chunk, Iowa, Miami, Peoria, Potawatomi, Sac & Fox
Putnam	Ho-Chunk, Kickapoo, Miami, Peoria, Potawatomi, Sac & Fox
Stark	Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox
Tazewell	Peoria, Potawatomi, Kickapoo, Miami
Warren	Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox
Woodford	Ho-Chunk, Kickapoo, Miami, Peoria, Potawatomi

DISTRICT 5

Counties

Tribes

Champaign	Kickapoo, Miami, Peoria
DeWitt	Kickapoo, Miami, Peoria
Douglas	Kickapoo, Miami, Peoria
Edgar	Kickapoo, Miami, Peoria
McLean	Iowa, Kickapoo, Miami, Peoria
Piatt	Kickapoo, Miami, Peoria
Vermilion	Kickapoo, Miami, Peoria

DISTRICT 6

Counties

Tribes

Adams	Ho-Chunk, Iowa, Miami, Peoria, Sac & Fox
Brown	Ho-Chunk, Iowa, Miami, Peoria, Potawatomi, Sac & Fox
Cass	Kickapoo, Miami, Peoria, Potawatomi,
Christian	Kickapoo, Miami, Peoria
Hancock	Ho-Chunk, Iowa, Miami, Peoria, Sac & Fox
Logan	Kickapoo, Miami, Peoria
Macoupin	Kaw, Kickapoo, Miami, Osage, Peoria
Mason	Kickapoo, Miami, Peoria, Potawatomi
Menard	Kickapoo, Miami, Peoria, Potawatomi
Montgomery	Kickapoo, Miami, Peoria, Potawatomi
Morgan	Kickapoo, Miami, Peoria, Potawatomi
Pike	Ho-Chunk, Iowa, Kaw, Miami, Osage, Peoria, Sac & Fox

Sangamon
Scott
Schuyler

Kickapoo, Miami, Peoria
Kickapoo, Miami, Peoria, Potawatomi
Ho-Chunk, Miami, Peoria, Potawatomi, Sac & Fox

DISTRICT 7

Counties

Tribes

Clark
Clay
Coles
Crawford
Cumberland
Edwards
Effingham
Fayette
Jasper
Lawrence
Macon
Moultrie
Richland
Shelby
Wabash
Wayne
White

Kickapoo, Miami, Peoria
Kaw, Miami, Peoria, Ponca, Osage
Kickapoo, Miami, Peoria
Kickapoo, Miami, Peoria
Kickapoo, Miami, Peoria
Delaware, Kickapoo, Miami, Peoria
Kickapoo, Miami, Peoria
Kickapoo, Miami, Peoria
Miami, Peoria
Kickapoo, Miami
Kaw, Kickapoo, Miami, Osage, Peoria, Ponca,
Kaw, Miami, Osage, Peoria, Ponca,
Kaw, Miami, Osage, Peoria, Ponca

DISTRICT 8

Counties

Tribes

Bond
Calhoun
Clinton
Greene
Jersey
Madison
Marion
Monroe
Randolph
St. Clair
Washington

Kaw, Kickapoo, Miami, Osage, Peoria, Ponca
Ho-Chunk, Kaw, Miami, Osage, Peoria, Sac & Fox
Kaw, Miami, Osage, Peoria, Ponca
Kaw, Kickapoo, Miami, Osage, Peoria
Kaw, Kickapoo, Miami, Osage, Peoria
Kaw, Kickapoo, Miami, Osage, Peoria, Ponca
Kaw, Miami, Osage, Peoria
Kaw, Miami, Osage, Peoria, Ponca
Delaware, Kaw, Miami, Osage, Peoria, Ponca,
Kaw, Miami, Osage, Peoria, Ponca
Kaw, Miami, Osage, Peoria, Ponca

DISTRICT 9

Counties

Tribes

Alexander	Delaware, Kaw, Miami, Osage, Peoria
Franklin	Kaw, Miami, Osage, Peoria, Ponca,
Gallatin	Delaware, Kaw, Miami, Osage, Peoria, Ponca,
Hamilton	Kaw, Kickapoo, Miami, Osage, Peoria, Ponca
Hardin	Kaw, Miami, Osage, Peoria, Ponca
Jackson	Kaw, Miami, Osage, Peoria, Ponca
Jefferson	Kaw, Miami, Osage, Peoria, Ponca
Johnson	Kaw, Miami, Osage, Peoria, Ponca
Massac	Kaw, Miami, Osage, Peoria, Ponca
Perry	Kaw, Miami, Osage, Peoria, Ponca
Pope	Kaw, Miami, Osage, Peoria, Ponca
Pulaski	Kaw, Miami, Osage, Peoria, Ponca
Saline	Kaw, Kickapoo, Miami, Osage, Peoria, Ponca
Union	Kaw, Miami, Osage, Peoria, Ponca
White	Kaw, Miami, Osage, Peoria, Ponca
Williamson	Kaw, Miami, Osage, Peoria, Ponca

APPENDIX B

POINT OF CONTACT LIST

Federal Highway Administration

Primary Point of Contact:

Norman R. Stoner, P.E.
Division Administrator
3250 Executive Park Drive, Springfield, IL 62703
norman.stoner@dot.gov
217-492-4640

Secondary Point of Contact:

Janis P. Piland, P.E.
Environmental Engineer
3250 Executive Park Drive, Springfield, IL 62703
Janis.piland@dot.gov
217-492-4989

Illinois Department of Transportation

Primary Point of Contact:

Dr. John Walthall
Chief Archaeologist
2300 S. Dirksen Parkway, Springfield, IL 62764
John.Walthall@illinois.gov
217-785-2831

Secondary Point of Contact:

Brad Koldehoff
Cultural Resources Coordinator
2300 S. Dirksen Parkway, Springfield, IL 62764
Brad.Koldehoff@illinois.gov
217-785-7833

Absentee Shawnee Tribe of Oklahoma

Primary Point of Contact:

Ms. Karen Kaniatobe
NAGPRA Representative
2025 Gordon Cooper Drive, Shawnee, OK 74801
kkaniatobe@astribe.com
405-275-4030 ext 199

Secondary Point of Contact:

none

The Delaware Nation

Primary Point of Contact:

Ms. Tamara Francis
Cultural Preservation Director
PO Box 825, Anadarko, OK 73005
tfrancis@delawarenation.com
405-247-2448 ext 1180

Secondary Point of Contact:

Mr. Jason Ross
Museum/ Section 106 Assistant
PO Box 825, Anadarko, OK 73005
jross@delawarenation.com

Ho-Chunk Nation

Primary Point of Contact:

Mr. Bill Quackenbush
Tribal Historic Preservation Officer
PO Box 667, Black River Falls, WI 54815
bill.quackenbush@ho-chunk.com
715-284-7181

Secondary Point of Contact:

Mr. George Garvin
NAGPRA Representative
PO Box 667, Black River Falls, WI 54815
George.garvin@ho-chunk.com

Iowa Tribe of Kansas and Nebraska

Primary Point of Contact:

Mr. Alan Kelley
3345 Thrasher Road, White Cloud, KS 66094
785-595-3258
akelley@iowas.org

Secondary Point of Contact:

none

Iowa Tribe of Oklahoma

Primary Point of Contact:

Dr. Robert Fields
Rt. 1, Box 721, Perkins, OK 74059
405-547-5433
rfields@iowanation.org

Secondary Point of Contact:

none

Kaw Nation

Primary Point of Contact:

Ms. Crystal Douglas
Drawer 50, Kaw City, OK 74641
580-269-2552
cdouglas@kawnation.com

Secondary Point of Contact:

Mr. Guy Monroe
CEO/ Chair
Drawer 50, Kaw City, OK 74641
580-269-2552
gmonroe@kawnation.com

Kickapoo Tribe in Kansas

Primary Point of Contact:

Mr. Kenneth Jessepe
Vice Chairman

1107 Goldfinch Road, Horton, KS 66439
Kenneth.jessepe@ktik-nsn.gov
877-864-2746

Secondary Point of Contact:

Ms. Nellie Cadue
NAGPRA Director

1107 Goldfinch Road, Horton, KS 66439
Nellie.cadue@ktik-nsn.gov

Kickapoo Traditional Tribe of Texas

Primary Point of Contact:

Mr. Juan Garza, Jr.
Chairman

HCR 1, Box 9700, Eagle Pass, TX 78852
830-758-1936

Secondary Point of Contact:

Ms. Mary Jane Salgado

HCR 1, Box 9700, Eagle Pass, TX 78852
mjsalgado@kickapootrafitionaltribeoftexas.com
830-773-2105

Kickapoo Tribe of Oklahoma

Primary Point of Contact:

Mr. Marlon Frye

PO Box 70, McCloud, OK 74851

Secondary Point of Contact:

Mr. Kent Collier

PO Box 70, McCloud, OK 74851
Kentcollier2000@yahoo.com
405-964-7418

Miami Tribe of Oklahoma

Primary Point of Contact:

Mr. George Strack
Tribal Historic Preservation Officer

202 S. Eight Tribes Trail, Miami, OK 74354
gstrack@miamination.com
918-542-1445

Secondary Point of Contact:

None

Omaha Tribe of Nebraska

Primary Point of Contact:

Mr. Amen Sheridan

PO Box 368, Macy, NE 68039
asheridan@omahatribe.com
402-837-5391

Secondary Point of Contact:
none

Osage Nation

Primary Point of Contact:
Dr. Andrea Hunter 627 Grandview, Pawhuska, OK 74056
Tribal Historic Preservation Officer ahunter@osage-tribe.org
918-287-5671

Secondary Point of Contact:
Ms. June Carpenter 627 Grandview, Pawhuska, OK 74056
NAGPRA Assistant jcarperter@osage-tribe.org
918-287-5520

Otoe-Missouria Tribe

Primary Point of Contact:
Mr. John Shotton 8151 Highway 177, Red Rock, OK 74651
Chairman jshotton@omtribe.org
580-723-4466

Secondary Point of Contact:
none

Peoria Tribe of Indians of Oklahoma

Primary Point of Contact:
Mr. John P. Froman 118 S. Eight Tribes Trails, Miami, OK 74355
Chief jfroman@peoriatribe.com
918-540-2535

Secondary Point of Contact:
Mr. Frank Hecksher 118 S. Eight Tribes Trails, Miami, OK 74355
Special Projs Mgr/ NAGPRA fhecksher@peoriatribe.com
918-540-2535 ext 31

Ponca Tribe of Nebraska

Primary Point of Contact:
Mr. Gary Robinette PO Box 288, Niobrara, NE 68760
Tribal Historic Preservation Officer garyr@poncatribe-ne.org
402-857-3519

Secondary Point of Contact:
Mr. Larry Wright, Jr. PO Box 288, Niobrara, NE 68760
Chairman ldwrightjr@gmail.com

Ponca Tribe of Oklahoma

Primary Point of Contact:

Mr. Delbert Cole

20 White Eagle Drive, Ponca City, OK 74601

Wanxe_sabe@hotmail.com

580-763-0120

Secondary Point of Contact:

Mr. Trey Howe

20 White Eagle Drive, Ponca City, OK 74601

treyhowe@excite.com

Citizen Potawatomi Nation

Primary Point of Contact:

Mr. John A. Barrett

Chairperson

1601 S. Gordon Cooper Drive, Shawnee, OK 74801

rbarrett@potawatomi.org

405-275-3121

Secondary Point of Contact:

Ms. Karen Phillips

1601 S. Gordon Cooper Drive, Shawnee, OK 74801

Forest County Potawatomi

Primary Point of Contact:

Mr. Phillip Shopodock

Chairperson

PO Box 340, Crandon, WI 54520

Secondary Point of Contact:

Mr. Michael L. Alloway

Director

PO Box 340, Crandon, WI 54520

mikea@fcpotawatomi.com

800-960-5479 ext 7474

Potawatomi Nation-Hannahville Indian Community

Primary Point of Contact:

Mr. Kenneth Mechiguad

Chairperson

N14911 Hannahville Boulevard Road, Wilson, MI 49896

Secondary Point of Contact:

Mr. Earl Mechiguad

N14911 Hannahville Boulevard Road, Wilson, MI 49896

earlmechiguad@hannahville.org

906-723-2271

Pokagon Band of Potawatomi Indians

Primary Point of Contact:

Mr. Steve Winchester

58620 Sink Road, Dowagiac, MI 49047

269-782-6323

Secondary Point of Contact:

Mr. Mike Zimmerman, Jr. 58620 Sink Road, Dowagiac, MI 49047
Tribal Historic Preservation Officer michael.zimmerman@pokagonband-nsn.gov
800-517-0777

Prairie Band Potawatomi Nation

Primary Point of Contact:

Mr. Steve Ortiz 16281 Q Road, Mayetta, KS 66509
steveo@pbnation.org
785-966-4000

Secondary Point of Contact:

Mr. Chago Hale 16281 Q Road, Mayetta, KS 66509
NAGPRA Representative chagoh@pbnation.org
785-966-4016

Quapaw Tribe of Oklahoma

Primary Point of Contact:

Mr. John Berrey PO Box 765, Quapaw, OK 74363
Chairman jberrey@ogahpah.com
918-542-1853

Secondary Point of Contact:

None

Sac and Fox Nation of Mississippi in Iowa

Primary Point of Contact:

Mr. Homer Bear, Jr. 349 Meskwaki Road, Tama, IA 52339
Chairman

Secondary Point of Contact:

Mr. Jonathan Buffalo 349 Meskwaki Road, Tama, IA 52339
NAGPRA Representative jbuffalo@meskwaki.org
641-484-3185

Sac and Fox Nation of Missouri

Primary Point of Contact:

Ms. Twen Barton 305 N. Main Street, Reserve, KS 66465
Chairperson tbarton@sacandfoxcasino.com
785-742-7471

Secondary Point of Contact:

Ms. Diana K. Weeks 305 N. Main Street, Reserve, KS 66465
NAGPRA Representative dweeks@sacandfoxcasino.com
785-742-7471

Sac and Fox Nation of Oklahoma

Primary Point of Contact:

Ms. Sandra Massey
Tribal Historic Preservation Officer
Route 2, Box 246, Stroud, OK 74079
smassey@sacandfoxnation-nsn.gov
918-968-3526 ext 1048

Secondary Point of Contact:

none

Winnebago Tribe of Nebraska

Primary Point of Contact:

Mr. John Blackhawk
Chairperson
PO Box 687, Winnebago, NE 68071
jblackhawk@aol.com
402-878-3103

Secondary Point of Contact:

Mr. David Smith
PO Box 687, Winnebago, NE 68071
theking@huntel.net
402-878-2380

APPENDIX C

PROJECTS EXEMPT FROM INITIAL NOTIFICATION

Projects limited to the following activities, provided the projects are not part of a larger undertaking, by their nature and definition and based on extensive previous experience within Illinois either: **1)** have no potential to affect historic properties [36 CFR 800.3(a)(1)], or **2)** have no or limited potential to result in any effects to historic properties of concern to the Tribes, and are therefore exempt from notification, but not consultation, if the need arises. Any project located adjacent to or within the viewshed of a NRHP listed or eligible property, including bridges and historic districts, will not be exempt. Project types listed below are exempt only if they do not impact previously undisturbed ground, i.e. soils that have been physically altered by any construction, utility trenching and related work.

1. Construction activities within interchanges and within medians of divided highways.
2. Replacement, repair, lining, or extension of culverts and other drainage structures which do not extend beyond or deeper than previous construction limits, and do not exhibit stone or brick structures or parts therein.
3. Roadway surface replacement, rehabilitation, resurfacing, or reconstruction, overlays, shoulder treatments, pavement repair, seal coating, pavement grinding, and pavement marking where replacement, repair, or installation of curbs or sidewalks will not be required.
4. Repair or replacement of existing lighting, signals, and other traffic control devices.
5. Repair or replacement of existing safety appurtenances such as guardrails, barriers, glare screens, and crash attenuators.
6. Installation of fencing and landscaping.
7. Railway crossing signs and signal installation, or modification and surface improvement.
8. Erosion control to prevent erosion of roadways, waterways and bridge piers.
9. Routine roadside maintenance activities necessary to preserve existing infrastructure and maintain roadway safety.
10. Rehabilitation of existing rest areas and truck weigh stations.
11. Hazardous waste removal and disposal constituting a public hazard and which require immediate removal.
12. Installation of parking lanes, bi-directional lanes, turning lanes or climbing lanes within existing right-of-way limits.

13. Approval of utility installations along a transportation facility within the State right-of-way.
14. Emergency repairs under 23 USC 125 which do not substantially change the design of the facility.
15. Bridge projects which consist of widening or replacement of bridges, not included in the Historic Bridge Survey that are on or adjacent to the existing alignment and require one acre or less of undeveloped rights of way.
16. Construction of bicycle and pedestrian lanes, paths, and facilities on previously disturbed rights of way
17. Replacement in kind within Historic Districts or adjacent to Historic Properties.

APPENDIX D

Illinois Human Skeletal Remains Protection Act (20 ILCS 3440)

(20 ILCS 3440/0.01) (from Ch. 127, par. 2660)

Sec. 0.01.

Short title. This Act may be cited as the Human Skeletal Remains Protection Act. (Source: P.A. 86-1324.)

(20 ILCS 3440/1) (from Ch. 127, par. 2661)

Sec. 1.

Definitions. For the purposes of this Act:

- (a) "Human skeletal remains" include the bones and decomposed fleshy parts of a deceased human body.
- (b) "Unregistered graves" are any graves or locations where a human body has been buried or deposited; is over 100 years old; and is not in a cemetery registered with the State Comptroller under the Cemetery Care Act.
- (c) "Grave artifacts" are any item of human manufacture or use that is associated with the human skeletal remains in an unregistered grave.
- (d) "Grave markers" are any tomb, monument, stone, ornament, mound, or other item of human manufacture that is associated with an unregistered grave.
- (e) "Person" means any natural individual, firm, trust, estate, partnership, association, joint stock company, joint venture, corporation or a receiver, trustee, guardian or other representatives appointed by order of any court, the Federal and State governments, including State Universities created by statute or any city, town, county or other political subdivision of this State.
- (f) "Disturb" includes excavating, removing, exposing, defacing, mutilating, destroying, molesting, or desecrating in any way human skeletal remains, unregistered graves, and grave markers. (Source: P.A. 86-151.)

(20 ILCS 3440/2) (from Ch. 127, par. 2662)

Sec. 2.

Legislative finding and intentions. The General Assembly finds that existing laws do not provide equal or adequate protection for all human graves. There is a real and growing threat to the safety and sanctity of unregistered and unmarked graves. Numerous incidents in Illinois have resulted in the desecration of human remains and vandalism to grave markers. Similar incidents have occurred in neighboring states and as a result those states have increased their criminal penalties for such conduct. There is a strong likelihood that persons engaged for personal or financial gain in the mining of prehistoric and historic Indian, pioneer, and Civil War veteran's graves will move their operations to Illinois to avoid the increased penalties being imposed in neighboring states. There is an immediate need for legislation to protect the graves of these earlier Illinoisans from such desecration. The General Assembly intends to assure with this Act that all human

burials be accorded equal treatment and respect for human dignity without reference to ethnic origins, cultural backgrounds or religious affiliations.

The General Assembly also finds that those persons engaged in the scientific study or collecting of artifacts which have not been acquired in violation of law are engaged in legitimate and worthy scientific, educational and recreational activities. This Act is not intended to interfere with the continued legitimate collecting activities or studies of such persons; nor is it intended to interfere with the normal enjoyment of private property owners, farmers, or those engaged in the development, mining or improvement of real property. (Source: P.A. 95-331, eff. 8-21-07.)

(20 ILCS 3440/3) (from Ch. 127, par. 2663)

Sec. 3.

Any person who discovers human skeletal remains subject to this Act shall promptly notify the coroner. Any person who knowingly fails to report such a discovery within 48 hours is guilty of a Class C misdemeanor, unless such person has reasonable cause to believe that the coroner had already been so notified. If the human skeletal remains appear to be from an unregistered grave, the coroner shall promptly notify the Historic Preservation Agency prior to their removal. Nothing in this Act shall be construed to apply to human skeletal remains subject to "An Act to revise the law in relation to coroners". (Source: P.A. 86-151.)

(20 ILCS 3440/4) (from Ch. 127, par. 2664)

Sec. 4.

It is unlawful for any person, either by himself or through an agent, to knowingly disturb human skeletal remains and grave artifacts in unregistered graves protected by this Act unless such person obtains a permit issued by the Historic Preservation Agency. (Source: P.A. 86-151.)

(20 ILCS 3440/4.5)

Sec. 4.5.

O'Hare Modernization. Nothing in this Act limits the authority of the City of Chicago to exercise its powers under the O'Hare Modernization Act or requires that City, or any person acting on behalf of that City, to obtain a permit under this Act when acquiring property or otherwise exercising its powers under the O'Hare Modernization Act. (Source: P.A. 93-450, eff. 8-6-03.)

(20 ILCS 3440/5) (from Ch. 127, par. 2665)

Sec. 5.

It is unlawful for any person, either by himself or through an agent, to knowingly disturb a grave marker protected by this Act unless such person obtains a permit issued by the Historic Preservation Agency. (Source: P.A. 86-151.)

(20 ILCS 3440/6) (from Ch. 127, par. 2666)

Sec. 6.

It is unlawful for any person, either by himself or through an agent, to offer any human skeletal remains, grave artifacts or grave markers for sale or exchange with the knowledge that they have been collected or excavated in violation of this Act. (Source: P.A. 86-151.)

(20 ILCS 3440/7) (from Ch. 127, par. 2667)

Sec. 7.

It is unlawful for any person, either by himself or through an agent, to knowingly allow the disturbance of human skeletal remains, unregistered graves, or grave markers on property controlled by that person unless such disturbance is authorized by a permit issued by the Historic Preservation Agency. (Source: P.A. 86-151.)

(20 ILCS 3440/8) (from Ch. 127, par. 2668)

Sec. 8.

The State's Attorney of the county in which a violation of Sections 4, 5, 6 or 7 of this Act is alleged to have occurred, or the Attorney General, may be requested by the Director of the Historic Preservation Agency to initiate criminal prosecutions or to seek civil damages, injunctive relief and any other appropriate relief. The Historic Preservation Agency shall cooperate with the State's Attorney or the Attorney General. Persons aware of any violations of this Act shall contact the Historic Preservation Agency. (Source: P.A. 86-151.)

(20 ILCS 3440/9) (from Ch. 127, par. 2669)

Sec. 9.

The Historic Preservation Agency is authorized to offer a reward of up to \$2000 for information leading to the arrest and conviction of persons who violate Sections 4, 5, 6 and 7 of this Act. (Source: P.A. 86-151.)

(20 ILCS 3440/10) (from Ch. 127, par. 2670)

Sec. 10.

Any violation of Sections 4, 6 or 7 of this Act is a Class A misdemeanor and the violator shall be subject to imprisonment for not more than 1 year and a fine not in excess of \$10,000; any subsequent violation is a Class 4 felony. Each disturbance of an unregistered grave constitutes a separate offense. (Source: P.A. 86-151.)

(20 ILCS 3440/11) (from Ch. 127, par. 2671)

Sec. 11.

Any violation of Section 5 of this Act is a Class B misdemeanor and the violator shall be subject to imprisonment for not more than 6 months and a fine not in excess of \$500; any subsequent violation is a Class A misdemeanor. Each disturbance of a grave marker constitutes a separate offense. (Source: P.A. 86-151.)

(20 ILCS 3440/12) (from Ch. 127, par. 2672)

Sec. 12.

Persons convicted of a violation of Section 4 or 5 of this Act shall also be liable for civil damages to be assessed by the Historic Preservation Agency. Civil damages may include:

(a) forfeiture of any and all equipment used in disturbing the protected unregistered graves or grave markers;

(b) any and all costs incurred in cleaning, restoring, analyzing, accessioning and curating the recovered materials;

(c) any and all costs associated with restoring the land to its original contour or the grave marker to its original condition;

(d) any and all costs associated with recovery of data, and analyzing, publishing, accessioning and curating materials when the prohibited activity is so extensive as to preclude the restoration of the unregistered burials or grave markers;

(e) any and all costs associated with the reinterment of the human skeletal remains;

(f) any and all costs associated with the determination and collection of the civil damages.

When civil damages are recovered through the Attorney General, the proceeds shall be deposited into the Historic Sites Fund; when civil damages are recovered through the State's Attorney, the proceeds shall be deposited into the county funds designated by the county board. (Source: P.A. 86-151.)

(20 ILCS 3440/13) (from Ch. 127, par. 2673)

Sec. 13.

(a) The Historic Preservation Agency shall develop regulations, in consultation with the Illinois State Museum, whereby permits may be issued for the removal of human skeletal remains and grave artifacts from unregistered graves or the removal of grave markers.

(b) Each permit shall specify all terms and conditions under which the removal of human skeletal remains, grave artifacts, or grave markers shall be carried out. All costs accrued in the removal of the aforementioned materials shall be borne by the permit applicant. Upon completion of the project, the permit holder shall submit a report of the results to the Historic Preservation Agency. (Source: P.A. 86-151.)

(20 ILCS 3440/14) (from Ch. 127, par. 2674)

Sec. 14.

All human skeletal remains and grave artifacts in unregistered graves are held in trust for the people of Illinois by the State and are under the jurisdiction of the Historic Preservation Agency. All materials collected under this Act shall be maintained, with dignity and respect, for the people of the State under the care of the Illinois State Museum. (Source: P.A. 86-151.)

(20 ILCS 3440/15) (from Ch. 127, par. 2675)

Sec. 15.

The Historic Preservation Agency shall promulgate such regulations as may be necessary to carry out the purposes of this Act. (Source: P.A. 86-151.)

(20 ILCS 3440/16) (from Ch. 127, par. 2676)

Sec. 16.

Activities reviewed by the Historic Preservation Agency pursuant to Section 106 of the National Historic Preservation Act (16 U.S.C. 470f) and activities permitted pursuant to the Federal

Surface Mining Control and Reclamation Act of 1977 (P.L. 95-87), or the rules and regulations promulgated thereunder or any law, rule or regulation adopted by the State of Illinois thereunder shall be exempt from these permitting requirements. (Source: P.A. 86-151.)

APPENDIX E

DEFINITIONS

Area of Potential Effect (A.P.E.) – The geographic area(s) within which an undertaking may directly or indirectly cause alterations in the character or use of historic properties. The A.P.E. is influenced by the scale and nature of an undertaking.

Area of Interest - The particular Illinois County that a Tribe has identified to be of interest. The FHWA Illinois Division Office and IDOT maintain a current list of known Tribal areas of interest by county.

Consultation – The process of seeking, discussing, and considering the views of participants, and where feasible seeking agreement with them. Consultation involves listening, exchanging views, and having meaningful input from all parties into final decisions. (From 36 CFR 800.16(f) and “Tribal Consultation: Best Practices in Historic Preservation”, National Association of Tribal Historic Preservation Officers, May 2005).

Illinois State Archaeological Survey (ISAS) is a joint program of the University of Illinois and the IDOT created to ensure the preservation and protection of Illinois’ important archaeological resources in the context of transportation projects. The ISAS’s mission is:

1. To assist IDOT in the preservation and protection of Illinois’ historic and archaeological resources,
2. To carry out research activities that enhance the educational and public service mission of the U of I, and
3. To promote and ensure professional and public dissemination of information regarding the prehistory and history of Illinois.

Through an Intergovernmental Agreement, ISAS performs all archaeological surveys for IDOT projects when IDOT submits such a request.

Mitigation – 1) Avoiding, 2) Minimizing, 3) Rectifying (by repairing, rehabilitating, or restoring), 4) Reducing/ eliminating over time (by preservation and maintenance), and 5) Compensating (by replacing or providing substitute resources) for an impact. (From CEQ regulations part 1508.20). Mitigation steps are listed in priority order, i.e. practicable avoidance should first be attempted, then minimization, etc.

Notification – The initial contact FHWA makes with a Tribe regarding a specific project. This will be done through IDOT PNS for minor projects (CE classes of action under NEPA), and if requested by a Tribe because of demonstrated technical difficulties accessing the PNS, may also be via hardcopy correspondence. For projects processed as EA and EIS classes of action under NEPA, the FHWA will normally notify Tribes by email. Information will include a project description, a map showing the project location, a list of any known archaeological sites in the project area, and a request that the Tribe notify FHWA/IDOT if they have an interest in the project and would like to be a consulting party in the Section 106 process.

Previously Undisturbed Ground – Soil that has not been physically altered by previous activities, such as construction, utility trenching, drainage work, and other related activities.

Project Notification System (PNS) – An electronic web-based database developed by ISAS. The IDOT submits a request to ISAS to do an archaeological survey for a project, and when ISAS enters the project into the PNS, an email is automatically generated and sent to the Tribes that have expressed an interest in that county. The PNS is only accessed by approved users with a password, and each Tribe can only receive/view projects in the counties of their interest. The notification includes: project description and location, maps, archaeological and historical information, a box to check if the Tribe “does not have any present concerns about this project”, a comment field if “The Tribe has concerns or questions regarding this project” and would like to be contacted. Previous comments are also displayed. The purpose of the PNS is to provide interested Tribes with immediate access to project information, and to solicit their input as soon as possible in the project development process.

Sacred Sites - Defined in Executive Order No. 13007 as “any specific, discrete, narrowly delineated location on Federal land that is identified by an Indian tribe, or Indian individual determined to be an appropriately authoritative representative of an Indian religion, as sacred by virtue of its established religious significance to, or ceremonial use by, an Indian religion; provided that the tribe or appropriately authoritative representative of an Indian religion has informed the agency of the existence of such a site.”

Traditional Cultural Landscape – “Cultural landscape” is defined in National Park Service (NPS) Preservation Briefs No. 36 as “a geographic area, including both cultural and natural resources and the wildlife or domestic animals therein, associated with a historic event, activity, or person or exhibiting other cultural or aesthetic values.” There are four general types: historic sites, historic designed landscapes, historic vernacular landscapes, and ethnographic landscapes. “Traditional Cultural Landscapes” are associated with a Tribal historic event, activity, or person, or have other association with a particular Tribe.

Traditional Cultural Property - Prehistoric and historic districts, sites, buildings, structures, or objects on or eligible for the NRHP, that is associated with cultural practices or beliefs of a living community that a) are rooted in that community’s history, and b) are important in maintaining the continuing cultural identity of the community. (From NPS Bulletin 38 under “What are traditional cultural properties?”). Sacred Sites and Traditional Cultural Landscapes are examples of TCPs (see NPS Bulletin 38 and NPS CRM journal Volume 16, special issue on TCPs.)

Tribal Official – An individual designated by Tribal government to represent the Tribe.